

# PATENT COOPERATION TREATY

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From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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PCT

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NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing  
(day/month/year)

06.09.2004

Applicant's or agent's file reference  
PN0283-PCT

## IMPORTANT NOTIFICATION

International application No.  
PCTNO 03/00352

International filing date (day/month/year)  
24.10.2003

Priority date (day/month/year)  
25.10.2002

Applicant  
AMERSHAM HEALTH AS et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international  
preliminary examining authority:



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# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>PN0283-PCT</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/PEA/416)	
International application No. <b>PCT/NO 03/00352</b>	International filing date ( <i>day/month/year</i> ) <b>24.10.2003</b>	Priority date ( <i>day/month/year</i> ) <b>25.10.2002</b>
International Patent Classification (IPC) or both national classification and IPC <b>A61K51/00</b>		
Applicant <b>AMERSHAM HEALTH AS et al.</b>		

  

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets, including this cover sheet.

☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 2 sheets.

  

3. This report contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

  

Date of submission of the demand  <b>14.05.2004</b>	Date of completion of this report  <b>06.09.2004</b>
Name and mailing address of the international preliminary examining authority:  <div style="display: flex; align-items: center;"> <div>             European Patent Office              D-80298 Munich              Tel. +49 89 2399 - 0 Tx: 523656 epmu d              Fax: +49 89 2399 - 4465           </div> </div>	Authorized Officer  <b>Beeck, M</b>  Telephone No. +49 89 2399-8473



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/NO 03/00352

**I. Basis of the report**

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, Pages**

1-11 as originally filed

**Claims, Numbers**

1-10 received on 15.07.2004 with letter of 15.07.2004

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).  
☐ the language of publication of the international application (under Rule 48.3(b)).  
☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority in written form.  
☐ furnished subsequently to this Authority in computer readable form.  
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.  
☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:  
☐ the claims, Nos.:  
☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

- D1: HARALD E. MÖLLER ET AL: "MRI of the Lungs Using Hyperpolarized Noble Gases" MAGNETIC RESONANCE IN MEDICINE, vol. 47, 2002, pages 1029-1051, XP002272037
- D2: WO 01/55656 A (OXFORD INSTR SUPERCONDUCTIVITY ;KALECHOFSKY NEAL FREDERICK (US)) 2 August 2001 (2001-08-02)
- D3: WO 00/23797 A (UNIV SYRACUSE) 27 April 2000 (2000-04-27)

**SECTION V:**

Closest prior art document is D3 from which the subject-matter of the present application differs in that the DNP method is selected from several methods of hyperpolarization and a solvent or a mixture of solvents is used, which leads to a higher polarization.

Since this was not obvious for the person skilled in the art, the subject-matter of the claims involves an inventive step.

Claims:

1. A method for producing hyperpolarized  $^{129}\text{Xe}$  comprising
  - 5 a) preparing a mixture of xenon, ~~an additive~~ <sup>④ insert</sup> and a free radical
  - b) hyperpolarizing said mixture according to the DNP method to obtain hyperpolarized  $^{129}\text{Xe}$  and
  - c) optionally separating said xenon from the other components of the mixture.
- 10 2. A method according to claim 1 wherein the additive is <sup>④</sup> at least one solvent or a mixture of solvents which has good glass-forming properties and/or lipophilic properties.
- 15 <sup>2</sup> 3. A method according to claim 1 ~~and 2~~, wherein the additive <sup>is</sup> ~~is a~~ solvent or a mixture of solvents <sup>at least one</sup> selected from the group consisting of straight chain or branched  $\text{C}_6\text{-C}_{12}$ -alkanes,  $\text{C}_5\text{-C}_{12}$ -cycloalkanes, fatty alcohols, fatty esters, substituted benzene derivatives, mono- or polyfluorinated solvents, single chained alcohols and glycols.
- 20 <sup>3</sup> 4. A method according to claims 1 to <sup>2</sup> 3 wherein the mixture in step a) is prepared from liquid xenon.
- 25 <sup>4</sup> 5. A method according to claims 1 to <sup>3</sup> 4 wherein the mixture in step a) is prepared by condensing xenon gas on the top of <sup>the at least one solvent or mixture of solvents</sup> the additive and the free radical, warming the components until xenon and the additive are in a liquid state and mixing the components until a homogeneous mixture is obtained.
- 30 <sup>5</sup> 6. A method according to claims 1 to <sup>4</sup> 5 wherein in step b)  $^{129}\text{Xe}$  is directly hyperpolarized.
- <sup>6</sup> 7. A method according to claims 1 to <sup>5</sup> 6 wherein in step b) the NMR active nuclei of <sup>at least one solvent or mixture of solvents</sup> the additive are hyperpolarized and this polarization is subsequently transferred to  $^{129}\text{Xe}$  by a cross-polarization sequence.

- 7  
8. A method according to claims 1 to 7 wherein xenon enriched with  $^{129}\text{Xe}$  is used.
- 8  
9. A method according to claims 1 to 8 wherein in step c) xenon is separated from the other components of the mixture by warming the mixture until xenon is in the gas state and collecting said xenon in a suitable container.
- 5  
10. A method for the production of a contrast agent comprising  
a) preparing a mixture of xenon, <sup>an insert</sup> ~~an additive~~ and a free radical  
b) hyperpolarizing said mixture according to the DNP method to obtain  
10 hyperpolarized  $^{129}\text{Xe}$   
c) separating said xenon from the other components of the mixture, and  
d) optionally condensing the separated xenon again.
- 10  
12. Use of DNP - hyperpolarized  $^{129}\text{Xe}$  <sup>produced according to the method of claim 1 to 8</sup> for the manufacture of a contrast agent for  
15 the use in magnetic resonance imaging of the human or non-human animal body,  
preferably of the lungs of the human or non-human animal body.
- 20